

Attorney Docket No. 019934-003720US
Client Ref. 019934-003720US

PTO/SB/01A (08-03)

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention SUBSTITUTED PIPERAZINES

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/732,897, filed on December 9, 2003,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Andrew M.K. Pennell Date: 04/05/2004
Signature: [Signature] Citizen of: United Kingdom

Inventor 2 James B. Aggen Date: 4/9/04
Signature: [Signature] Citizen of: United States

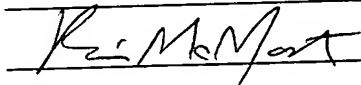
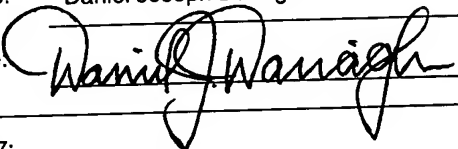
Inventor 3 J.J. Kim Wright Date: 4/2/04
Signature: [Signature] Citizen of: Australia

Inventor 4 Subhabrata Sen Date: 04/04/04
Signature: [Signature] Citizen of: India

☒ Additional inventors are being named on additional form(s) attached hereto.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76) –
ADDITIONAL INVENTOR(S)
Supplemental Sheet
(Total of 2 forms are submitted.)**

FULL NAME OF INVENTOR(S)

Inventor 5:	Brian E. McMaster	Date:	4-6-04
Signature:		Citizen of:	United States
Inventor 6:	Daniel Joseph Dairaghi	Date:	4-2-04
Signature:		Citizen of:	United States
Inventor 7:		Date:	
Signature:		Citizen of:	
Inventor 8:		Date:	
Signature:		Citizen of:	

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/732,897
Filing Date	12/09/03
First Named Inventor	Andrew M.K. Pennell
Title	SUBSTITUTED PIPERAZINES
Art Unit	1624
Examiner Name	
Attorney Docket Number	019934-003720US

I hereby appoint:

☒ Practitioners associated with the Customer Number**20350**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).***SIGNATURE of Applicant or Assignee of Record**

Name	THOMAS SCHALK		
Signature			
Date	4/10/04	Telephone	656 632 2900

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Andrew M.K. Pennell, James B. Aggen, J.J. Kim Wright, Subhabrata Sen, Brian E. McMaster and Daniel Joseph Dairaghi

Application No./Patent No.: 10/732,897 Filed/Issue Date: 12/09/03

Entitled: SUBSTITUTED PIPERAZINES

ChemoCentryx, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4/10/04
Date

650 632 2900
Telephone number

THOMAS SCHALL
Typed or printed name

[Signature]
Signature

PRESIDENT and CEO
Title

COPY

Attorney Docket No.: 019934-003720US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Andrew M.K. Pennell of 148 Hancock Street, San Francisco, CA 94114; James B. Aggen of 1311 California Drive, Burlingame, CA 94010; J.J. Kim Wright of 720 Bair Road, Apt. 107, Redwood City, CA 94063; Subhabrata Sen of 655 S. Fair Oaks Avenue, #P-204, Sunnyvale, CA 94086; Brian E. McMaster of 120 Walker Drive, Mountain View, CA 94043; Daniel Joseph Dairaghi of 178 El Dorado Avenue, Palo Alto, CA 94306 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: SUBSTITUTED PIPERAZINES

Date(s) of execution of Declaration: 04/05/04; 04/09/04; 04/02/04; 04/02/04; 04/06/04;
and 04/02/04

Filing Date: December 9, 2003

Application No.: 10/732,897

WHEREAS, ChemoCentryx, Inc., a corporation of the state of Delaware, located at 1539 Industrial Road; San Carlos, CA 94070, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

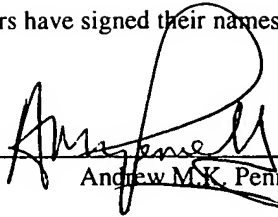
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

NOT FOR RECORDATION

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.


Dated: 04-05-2004



Andrew M.K. Pennell

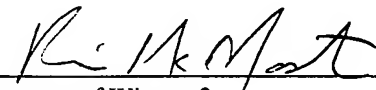
SIGNATURE WITNESSED BY:

(1) Date: 4/5/04



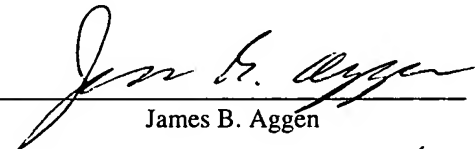
Signature of Witness 1
Witness Printed Name: M. PENCAO

(2) Date: 4-5-04



Signature of Witness 2
Witness Printed Name: B. M. MASTER


Dated: 4-9-2004



James B. Aggen

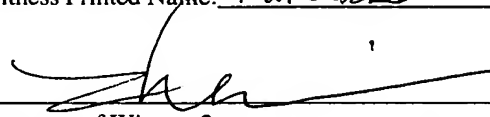
SIGNATURE WITNESSED BY:

(1) Date: 4/9/04




Signature of Witness 1
Witness Printed Name: M. PENCAO

(2) Date: 4/9/04




Signature of Witness 2
Witness Printed Name: ZHENG WEI

Dated: 4/2/04



J.J. Kim Wright

SIGNATURE WITNESSED BY:

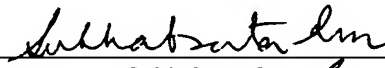
(1) Date: 4/2/04


Signature of Witness 1
Witness Printed Name: M. P. FALD

(2) Date: 4/2/04

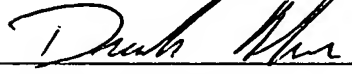

Signature of Witness 2
Witness Printed Name: Derek Hansen

Dated: 04/04/04



Subhabrata Sen

SIGNATURE WITNESSED BY:

(1) Date: 4/2/04


Signature of Witness 1
Witness Printed Name: Derek Hansen

(2) Date: 4/2/04


Signature of Witness 2
Witness Printed Name: M. P. FALD

Dated: 4-6-04

Brian E. McMaster
Brian E. McMaster

SIGNATURE WITNESSED BY:

(1) Date: 4/6/04

[Signature]
Signature of Witness 1
Witness Printed Name: M. FENOLD

(2) Date: 4/6/04

[Signature]
Signature of Witness 2
Witness Printed Name: Derek Hansen

Dated: 4-2-04

Daniel J. Dairaghi
Daniel Joseph Dairaghi

SIGNATURE WITNESSED BY:

(1) Date: 4/2/04

[Signature]
Signature of Witness 1
Witness Printed Name: Derek Hansen

(2) Date: 4/2/04

[Signature]
Signature of Witness 2
Witness Printed Name: M. FENOLD

Form PTO-1595
(Rev. 10-02)
OMB No. 0651-0027 (exp. 10/31/05)Recordation Form Cover Sheet
PATENTS ONLYU.S. Department of Commerce
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Andrew M.K. Pennell

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 04/05/04; 04/09/04; 04/02/04; 04/02/04;
04/06/04; and 04/02/04

2. Name and address of receiving party(ies)

Name: ChemoCentryx, Inc.

Internal Address:

Street Address: 1539 Industrial Road

City: San Carlos State: CA ZIP: 94070

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/732,897

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William B. Kezer
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*William B. Kezer
Name of Person Signing
Atty. Reg. No. 37,369
Signature4-20-14
Date

Total number of pages including cover sheet, attachments and documents: 8

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1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

James B. Aggen
J.J. Kim Wright
Subhabrata Sen
Brian E. McMaster
Daniel Joseph Dairaghi

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)